



Filing ID #10027207

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Adam B. Schiff  
**Status:** Member  
**State/District:** CA28

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2018  
**Filing Date:** 05/15/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AbbVie Inc. (ABBV) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Apple Inc. (AAPL) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Bank of America Savings Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity Cash Reserves [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Franklin Growth Opportunities Fund Class C [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Franklin Mutual Global Discovery Fund Class C [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Franklin Rising Dividends Fund Class C [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Franklin Templeton Mutual Shares Fund Class C [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
I Shares DJ Tech Sector Ind Fund [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
I Shares DJ US Healthcare Sector Ind Fund [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
I Shares MSCI Emerging Markets Fund [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
I Shares Trust Core S&P 500 Index [EF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
I Shares Trust Global Industrials Index Fund [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
I Shares Trust Russell 2000 Index [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
I Shares Trust S&P Global Energy Sector Index Fund [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
I Shares Trust S&P Global Information Technology Sector Index Fund [EF]		\$15,001 - \$50,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ Fidelity Puritan Fund IRA [EF]		\$100,001 - \$250,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
Individual Retirement Account ⇒ I Shares Trust Core S&P 500 Index [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Individual Retirement Account ⇒ Invesco QQQ Trust [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Name change from Powershares QQQ Trust in June 2018					
Individual Retirement Account ⇒ T Rowe Price New Horizons [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Individual Retirement Account ⇒ WP Carey Inc REIT [RE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Invesco QQQ Trust [EF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Name change from Powershares QQQ Trust in June 2018					
Pimco Income Fund Class C [EF]		\$100,001 -	Capital Gains,	\$5,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$250,000	Dividends	\$15,000	
Third Avenue Focused Credit Fund Investor [HE]		None	Dividends, Cash liquidation distribution	\$201 - \$1,000	<input type="checkbox"/>
UBS Bank USA Deposit [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AbbVie Inc. (ABBV) [ST]		02/28/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
AbbVie Inc. (ABBV) [ST]		01/18/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Apple Inc. (AAPL) [ST]		01/12/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Apple Inc. (AAPL) [ST]		03/5/2018	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Apple Inc. (AAPL) [ST]		08/17/2018	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
I Shares Core S&P 500 [EF]		02/28/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
I Shares Global Industrials [EF]		08/17/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
I Shares Global Industrials [EF]		11/8/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
I Shares Global Tech [EF]		01/12/2018	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
I Shares Russell 2000 [EF]		11/8/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
I Shares U.S. Healthcare [EF]		02/28/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Invesco QQQ Trust [EF]		11/8/2018	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Invesco QQQ Trust [EF]		02/28/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Powershares QQQ name change to Invesco in June 2018					
PIMCO Income Fund Class C [EF]		08/17/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Putnam Short Duration Income Fund A [EF]		03/27/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Putnam Short Duration Income Fund A [EF]		08/17/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Putnam Short Duration Income Fund A [EF]		12/26/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Putnam Short Duration Income Fund A [EF]		03/1/2018	P	\$15,001 - \$50,000	
Putnam Short Duration Income Fund A [EF]		11/8/2018	P	\$15,001 - \$50,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Provident Funding	December 2011	Mortgage on California Home	\$100,001 - \$250,000
	UBS Financial Services Inc	Various dates in 2018	Margin loan on portfolio	\$15,001 - \$50,000
	Freedom Mortgage	November 2017	Mortgage	\$500,001 - \$1,000,000

Owner	Creditor	Date Incurred	Type	Amount of Liability

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Aspen Institute Inc.	07/19/2018	07/23/2018	Washington, DC - Aspen - Washington, DC	1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Source	Activity	Date	Amount	Charity Name
Real Time with Bill Maher	Appearance	02/9/2018	\$850.00	LA LGBT Center

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"> <li>Individual Retirement Account</li> </ul>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Adam B. Schiff , 05/15/2019